SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## OMB APPROVAL

3235-0104 OMB Number:

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Perso <u>5AM Ventures V, L.P.</u> (Last) (First) (Middle) 501 2ND STREET, SUITE 350 (Street) <u>SAN</u> <u>FRANCISCO</u> CA 94107 (City) (State) (Zip)	n* 2. Date Requirin (Month/I 10/28/2	of Event g Statement Day/Year) 2021	3. Issuer Name <b>and</b> Tic <u>Entrada Therape</u> 4. Relationship of Repo Issuer (Check all applicable) Director Officer (give title below)	eutic	r Trading <u>CS</u> , <u>Inc</u> . Person(s) 10% O Other ( below)	to wner specify	5. If Fileo 6. In	d (Month/Day/ dividual or Jo eck Applicable Form filed I Person	int/Group Filing Line) by One Reporting by More than One
Table I - Non-Derivat   1. Title of Security (Instr. 4)			tive Securities Ben 2. Amount of Securities Beneficially Owned (Ins 4)	5	3. Ownership		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock			331,155		I		See I	Footnote <sup>(1)</sup>	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 4)	2. Date Exercised Expiration D (Month/Day/	cisable and ate	3. Title and Amount of S Underlying Derivative S (Instr. 4)	Secu	rities	4. Conversion or Exercise Price of		5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	N		nount or mber of ares	Derivative Security		or Indirect (I) (Instr. 5)	5,
Series Seed Preferred Stock	(2)	(2)	Common Stock	3	18,616	(2)		I	See Footnote <sup>(1)</sup>
Series A Preferred Stock	(2)	(2)	Common Stock	2,3	371,739	(2)		Ι	See Footnote <sup>(1)</sup>
Series A Preferred Stock	(2)	(2)	Common Stock	5	64,217	(2)		Ι	See Footnote <sup>(3)</sup>
Series B Preferred Stock	(2)	(2)	Common Stock	2	54,512	(2)		I	See Footnote <sup>(1)</sup>
Series B Preferred Stock	(2)	(2)	Common Stock	3	18,140	(2)		Ι	See Footnote <sup>(3)</sup>
1. Name and Address of Reporting Perso <u>5AM Ventures V, L.P.</u> (Last) (First) 501 2ND STREET, SUITE 350	n <sup>*</sup> (Middle)								
(Street) SAN CA FRANCISCO	94107								
(City) (State)	(Zip)								
1. Name and Address of Reporting Perso <u>5AM Partners V, LLC</u>	n*								
(Last) (First) 501 2ND STREET, SUITE 350	(Middle)								
(Street)									

SAN FRANCISCO	CA	94107					
(City)	(State)	(Zip)					
1. Name and Address of Reporting Person <sup>*</sup> <u>5AM Opportunities I, L.P.</u>							
(Last) 501 2ND STRE	(First) ET, SUITE 350	(Middle)					
(Street) SAN FRANCISCO	CA	94107					
(City)	(State)	(Zip)					
1. Name and Address of Reporting Person <sup>*</sup> <u>5AM Opportunities I (GP), LLC</u>							
(Last) 501 2ND STRE	(First) ET, SUITE 350	(Middle)					
(Street) SAN FRANCISCO	CA	94107					
(City)	(State)	(Zip)					
1. Name and Addres	ss of Reporting Perso	'n*					
(Last) 501 2ND STRE	(First) ET, SUITE 350	(Middle)					
(Street) SAN FRANCISCO	CA	94107					
(City)							
	(State)	(Zip)					
1. Name and Addres	ss of Reporting Perso						
	ss of Reporting Perso <u>ew J.</u> (First)						
Schwab Andı (Last)	ss of Reporting Perso <u>ew J.</u> (First)	n*					

**Explanation of Responses:** 

3. Shares are held by 5AM Opportunities I, L.P. ("Opportunities"). 5AM Opportunities I (GP), LLC ("Opportunities GP") is the sole general partner of Opportunities. Andrew J. Schwab and Dr. Kush Parmar are managing members of Opportunities GP and may be deemed to have shared voting and investment power over the shares beneficially owned by Opportunities. Each of Opportunities GP and Mr. Schwab disclaims beneficial ownership of the shares of Common Stock held by Opportunities, except to the extent of its or his pecuniary interest therein. Dr. Parmar is a director of the Issuer and files separate Section 16 reports.

<sup>1.</sup> Shares are held by 5AM Ventures V, L.P. ("5AM V"). 5AM Partners V, LLC ("5AM Partners") is the sole general partner of 5AM V. Dr. Kush Parmar, Andrew J. Schwab and Dr. Scott M. Rocklage are managing members of 5AM Partners and may be deemed to have shared voting and investment power over the shares beneficially owned by 5AM V. Each of 5AM Partners, Mr. Schwab and Dr. Rocklage disclaims beneficial ownership of the shares of Common Stock held by 5AM V, except to the extent of its or his pecuniary interest therein. Dr. Parmar is a director of the Issuer and files separate Section 16 reports.

<sup>2.</sup> Each share of Series Seed Preferred Stock is convertible into common stock on a 1-for-7.235890014 basis into the number of shares of Common Stock shown in column 3 at any time at the holder's election and automatically upon the closing of the Issuer's initial public offering. Each share of Series A Preferred Stock is convertible into Common Stock on a 1-for-7.235890014 basis into the number of shares of Common Stock shown in column 3 at any time at the holder's election and automatically upon the closing of the Issuer's initial public offering. Each share of Series A Preferred Stock is convertible into Common Stock shown in column 3 at any time at the holder's election and automatically upon the closing of the Issuer's initial public offering. Each share of Series B Preferred Stock is convertible into Common Stock on a 1-for-7.235890014 basis into the number of shares of Common Stock shown in column 3 at any time at the holder's election and automatically upon the closing of the Issuer's initial public offering. The Series Seed Preferred Stock, Series A Preferred Stock and Series B Preferred Stock have no expiration date.

## **Remarks:**

<u>5AM Ventures V, L.P., By:</u> <u>5AM Partners V, LLC, its</u> <u>General Partner, By /s/</u> <u>Scott M. Rocklage,</u> <u>Managing Member</u>	<u>10/28/2021</u>
<u>5AM Partners V, LLC, By</u> /s/ Scott M. Rocklage, <u>Managing Member</u>	<u>10/28/2021</u>
5AM Opportunities I, L.P., By: 5AM Opportunities I (GP),LLC, its General Partner, By/s/ Kush Parmar, Managing Member	<u>10/28/2021</u>
<u>5AM Opportunities I (GP),</u> <u>LLC, By /s/ Kush Parmar,</u> <u>Managing Member</u>	<u>10/28/2021</u>
<u>/s/ Scott Rocklage</u>	<u>10/28/2021</u>
<u>/s/ Andrew J. Schwab</u>	<u>10/28/2021</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.